



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Nubar OZBALIK et al.

Application No.: 10/752,805

Filed: January 7, 2004

For: Power Transmission Fluids with  
Enhanced Anti-Shudder  
Characteristics

Confirmation No.: 5115

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Sir:

Pursuant to the duty of disclosure, Applicants submit herewith information which may be material to the examination of the above-captioned application.

Specifically, the information comprises references that have become known to Applicants and their attorneys. Those references are listed on the enclosed form PTO/SB/08A; a foreign patent document is attached.

It is respectfully requested that these references be fully considered in their entirety, and that the references be made of record in the above-captioned application. It is also respectfully requested that the Examiner initial in the appropriate area on the enclosed Form PTO/SB/08A, thereby indicating the Examiner's consideration of each of the references.

**Fees**

As this Information Disclosure Statement is being submitted before the date of a first Office Action on the merits, it is believed that there are no fees associated with this filing.

However, in the event the calculations are incorrect, the Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment associated with this communication to Deposit Account No. 05-1372. A duplicate copy of this sheet is attached.

Conclusion

It is believed that all provisions of 37 CFR 1.97 have been complied with, and consideration of these references is most respectfully requested.

Respectfully Submitted,

**ETHYL PETROLEUM ADDITIVES, INC.**

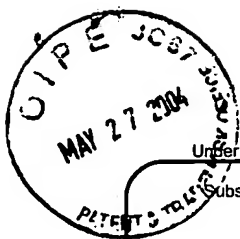
A handwritten signature in black ink, appearing to read "Dennis H. Rainear", is written over a horizontal line.

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Date: **May 26, 2004**





PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

**Complete if Known**

Application Number	10/752,805
Filing Date	January 7, 2004
First Named Inventor	Nubar OZBALIK et al.
Art Unit	Unassigned; Conf. No.: 5115
Examiner Name	Unassigned
Attorney Docket Number	EI-7621

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3702300	11-07-1972	Coleman	
		US- 4758364	07-19-1988	Seki, et al.	
		US- 4863623	09-05-1989	Nalesnik	
		US- 4943672	07-24-1990	Hamner, dec, et al.	
		US- 5075383	12-24-1991	Migdal, et al.	
		US- 5137980	08-11-1992	DeGonia, et al.	
		US- 5344579	09-06-1994	Ohtani, et al.	
		US- 5372735	12-13-1994	Ohtani, et al.	
		US- 5436379	07-25-1995	Heilman, et al.	
		US- 5441656	08-15-1995	Ohtani, et al.	
		US- 5634951	06-03-1997	Colucci, et al.	
		US- 5725612	03-10-1998	Malfer, et al.	
		US- 5789353	09-04-1998	Scattergood	
		US- 5882505	06-19-1999	Wittenbrink, et al.	
		US- 5883057	06-16-1999	Roell, Jr., et al.	
		US- 6013171	01-11-2000	Cook, et al.	
		US- 6080301	06-27-2000	Berlowitz, et al.	
		US- 6096940	08-01-2000	Wittenbrink, et al.	
		US- 6103099	08-15-2000	Wittenbrink, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 020 037 B1	12-10-1980	COOPER EDWIN INC (US)		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	2	of	2
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Attorney Docket Number	EI-7621

## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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